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**Silane formulation with high filler content**

The present invention relates to a new formulation comprising organoalkoxysilane and a high proportion of inorganic oxidic powders, to its preparation, and to its use.

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In numerous chemical products, inorganic oxidic powders are used as pigments in coloring function and also as fillers. Examples thereof include pyrogenically prepared silica or corresponding titanium dioxide or aluminum oxide, precipitated aluminum oxides or oxide hydroxides or hydroxides, precipitated silica, iron oxide, zirconium 10 oxide, and other metal oxides. Said powers are used, for example, in the production of plastics and in paints and inks. A concern here is that the powder particles should be distributed in the product as homogeneously as possible. Silanes as well are frequently used in the production of such products. Powders whose surface has been modified with a silane are also employed. Furthermore, the uniformity of 15 particle distribution may have a substantial effect on a particularly good product quality. The incorporation of powder fractions into a composition is generally difficult and intensive in terms of apparatus, particularly when the particles are very fine.

It is an object of the present invention to provide a further means of incorporating 20 inorganic oxidic powders having a size down into the nanometer range into alkoxy silanes.

This object is achieved in accordance with the invention as specified in the claims.

25 Surprisingly it has been found that nanoscale inorganic oxidic powders, in particular in relatively large amounts, examples including both hydrophilic and hydrophobic pyrogenic silica, pyrogenic aluminum oxide, boehmite, and titanium dioxide, can be incorporated homogeneously, simply and economically, and also with comparative advantage into organoalkoxysilanes of the general formula I and/or organoalkoxy- 30 silanes of the general formula II by means of an intensive dispersing operation involving the addition of less than 0.8 mol, preferably less than 0.5 mol, of water per mol of silicon in the silane and/or siloxane used, the viscosity of the system of the invention falling considerably as compared with that of a formulation for which the

powder is merely stirred into the silane and/or siloxane. In the preparation of the system of the invention it is also possible to add a catalytic amount of organic or inorganic acid and also a wetting assistant. A further reduction in the viscosity of the system of the invention can be achieved if the system is treated with ultrasound 5 actually during its preparation or subsequently.

Systems obtained in this way are generally clear, transparent to opalescent, readily pourable liquids having a comparatively low viscosity and a hitherto unknown, extremely high solids content.

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Furthermore, formulations of the invention advantageously can be diluted as desired with an organic solvent or solvent mixtures, e.g., alcohols or esters.

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In addition, systems of the invention are substantially storage-stable liquids having a storage stability of in general from 6 to 12 months at room temperature.

Present systems of the invention are referred to below, *inter alia*, as highly filled silane formulations or just silane formulation, or formulation.

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Silane formulations of the invention can be used advantageously as what are termed liquid powders, especially where organosilanes and/or organosiloxanes can also be added.

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Highly filled silane formulations of the invention can be used simply and advantageously, in particular, for application in downstream products, examples being liquid systems of other kinds, such as solutions, mixtures or melts; in this context, as compared with the incorporation of powders into a liquid system of another kind, the system of the invention can be incorporated with comparatively little effort, speedily, and with particular homogeneity.

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The use of silane formulations of the invention is also particularly advantageous on account of the fact that the silane, which acts as solvent, still contains a sufficient number of hydrolyzable alkoxy groups, which after further hydrolysis can participate

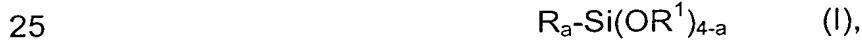
in condensation reactions with silanes or with organic OH-functional components, the organic oxidic particles being incorporated into the network as it forms.

The present invention accordingly provides a formulation comprising (i) at least one  
5 organoalkoxysilane and/or at least one organoalkoxysiloxane and (ii) at least one  
inorganic oxidic powder, and (iii), if desired, an organic or inorganic acid, with  
component (ii) making up from 5 to 50% by weight, preferably from 10 to 35% by  
weight, more preferably from 15 to 32% by weight, and very preferably from 20 to  
30% by weight of the formulation, and the formulation having a viscosity of less than  
10 1500 mPa·s, preferably from 10 to 800 mPa·s, with particular preference from 50 to  
500 mPa·s, and with very particular preference from 100 to 450 mPa·s.

A formulation of the invention may comprise a wetting assistant as further  
component (iv). Wetting assistants which can be used include conventional surface-  
15 active substances, especially nonylphenol polyglycol ethers, examples being those  
from the series of Marlophen® products from Sasol.

The formulation of the invention may further comprise as component (v) a diluent or  
solvent, such as alcohol, suitably methanol or ethanol, and also, where appropriate,  
20 water.

A formulation of the invention preferably comprises as component (i) at least one  
organoalkoxysilane of the general formula (I)



in which groups R are identical or different and R is a linear, cyclic, branched or  
optionally substituted alkyl group having 1 to 18 carbon atoms, preferably  
methyl, n-propyl or octyl, or an alkenyl group having 2 to 8 carbon atoms,  
30 preferably vinyl, or an aryl group, preferably phenyl, or an acryloyl- or  
methacryloyloxyalkyl group, preferably 3-methacryloyloxypropyl, or a glycidyl-  
oxyalkyl group, such as a 3-glycidyloxypropyl group, or a fluoroalkyl group, with  
partial fluorination or perfluorination, for example, such as a tridecafluoro-

1,1,2,2-tetrahydrooctyl group, or an aminoalkyl group, such as a 3-aminopropyl-, N-n-butyl-3-aminopropyl or N-(2-aminoethyl)-3-aminopropyl group, or a corresponding monosilylated or oligosilylated aminoalkyl group, or an alkoxy group having 1 to 6 carbon atoms, such as methoxy, ethoxy, propoxy or 2-methoxyethoxy, or a ureidoalkyl group or an epoxyalkyl group or a mercaptoalkyl group having 1 to 6 carbon atoms in the alkyl group, such as 3-mercaptopropyl, or a silylated alkylsulfanealkyl group, as in bis[3-(triethoxysilyl)propyl]tetrasulfane or a thiocyanatoalkyl group or an isocyanatoalkyl group, R<sup>1</sup> is a linear, cyclic or branched alkyl group having 1 to 6 carbon atoms, preferably a methyl, ethyl or n-propyl or isopropyl group, and a is 0 or 1 or 2,

and/or at least one organoalkoxysiloxane of the general formula (II)



in which groups R<sup>2</sup> are identical or different and R<sup>2</sup> is a linear, cyclic, branched or substituted alkyl group having 1 to 18 carbon atoms, an alkenyl group having 2 to 8 carbon atoms, an aryl group, an acryloyl- or methacryloyloxyalkyl group, a glycidyloxyalkyl group, an epoxyalkyl group, a fluoroalkyl group, an aminoalkyl group, a silylated aminoalkyl group, a ureidoalkyl group, a mercaptoalkyl group having 1 to 6 carbon atoms in the alkyl group, a silylated alkylsulfane group, a thiocyanatoalkyl group, an isocyanatoalkyl group or an alkoxy group having 1 to 6 carbon atoms, R<sup>3</sup> is a linear, cyclic, branched or substituted alkyl group having 1 to 18 carbon atoms, R<sup>4</sup> is a linear, cyclic or branched alkyl group having 1 to 6 carbon atoms, x is 0 or 1 or 2, and y is 0 or 1 or 2, with the proviso that (x+y) < 3.

Furthermore, formulations of the invention preferably comprise at least one nanoscale powder (ii) having an average particle size (d<sub>50</sub>) of less than 1200 nm, preferably from 50 to 1000 nm, more preferably from 100 to 900 nm, very preferably from 200 to 800 nm, such a powder being selected preferably from the group consisting of silicon oxide, such as Aerosil<sup>®</sup>, aluminum oxide, such as Aluminum

oxide C, and titanium oxide. The fineness of grind, as determinable by means of a grindometer to DIN EN ISO 1524, is generally  $< 10 \mu\text{m}$ . The particle size distribution can be determined by laser diffraction.

5 In addition, a formulation of the invention may comprise as further components at least one reaction product of components (i) and (ii), in which case, in accordance with a model concept, component (i) or, where appropriate, a partially hydrolyzed component (i) may react with a reactive center on the surface of the powder (ii), a hydroxyl group for example, with elimination of an alcohol molecule or a molecule of

10 water.

Formulations of the invention may advantageously possess a solids content in accordance with DIN/ISO 3251 "Determination of the nonvolatile fraction of varnishes, paints and binders for varnishes and paints" (appropriately 1 hour at 15 125 °C in a drying cabinet) of up to 90% by weight, preferably up to 80% by weight, very preferably up to 60% by weight, based on the formulation, whose respective components total a maximum of 100% by weight.

The present invention further provides a process for preparing a formulation which is 20 of low viscosity despite a high solids content, which process comprises

- combining components (i), (ii), and, where appropriate, (iv),
- adding from 0.001 to  $< 0.8$  mol of water per mole of Si in component (i), preferably from 0.05 to 0.5 mol of water, more preferably from 0.1 to 0.4 mol of water, very preferably from 0.2 to 0.35 mol of water, together where appropriate 25 with a catalytic amount of an organic or inorganic acid in accordance with component (iii), and
- intensely dispersing the mixture.

As organoalkoxysilane of the general formula (I) it is preferred to use methyltriethoxy-30 silane, methyltriethoxysilane, n-propyltrimethoxysilane, n-propyltriethoxysilane, vinyltriethoxysilane, vinyltrimethoxysilane, 3-methacryloyloxypropyltrimethoxysilane, 3-methacryloyloxypropyltriethoxysilane, 3-glycidyloxypropyltrimethoxysilane, 3-glycidyloxypropyltriethoxysilane, tridecafluoro-1,1,2,2-tetrahydrooctyltrimethoxy-

silane, tridecafluoro-1,1,2,2-tetrahydrooctyltriethoxysilane, 3-aminopropyltrimethoxy-  
silane, N-(n-butyl)-3-aminopropyltrimethoxysilane, N-(2-aminoethyl)-3-aminopropyl-  
trimethoxysilane, N-(2-aminoethyl)-3-aminopropylmethyldimethoxysilane, bis(3-  
trimethoxysilylpropyl)amine, 3-mercaptopropyltrimethoxysilane or at least one  
5 organoalkoxysiloxane of the general formula (II) or a mixture of  
organoalkoxysiloxanes from the group consisting of aminosilanes with alkylsilanes  
and/or organofunctional silanes according to DE 101 51 264, 3-methacryloyl-  
oxypropyltrimethoxysilane with alkylsilanes, etc., in accordance with DE 198 34 990,  
alkylsilanes with alkylsilanes in accordance with EP 0 978 525 A2 or EP 0 814 110  
10 A1, especially methyltrialkoxysilane with propyltrialkoxysilane or phenyltrialkoxy-  
silane, and also vinylalkoxysilanes with alkylalkoxysilanes in accordance with  
EP 0 518 057 A1, alkoxy being preferably methoxy or ethoxy, or a mixture of  
organoalkoxysilanes of the general formula I and organoalkoxysiloxanes of the  
general formula II.

15 In the process of the invention it is preferred as component (ii) to use a nanoscale  
inorganic powder from the group consisting of silicon oxides, such as pyrogenic  
silica, precipitated silica, silicates, aluminum silicates, aluminum oxides, including  
aluminum oxide hydroxide or aluminum hydroxide, transition metal oxides, such as  
20 titanium oxide, metatitanic acid, iron oxide, zinc oxide, copper oxide, chromium  
oxide, or mixtures thereof, the powders in accordance with (ii) preferably having a  
BET surface area of between 50 and 400 m<sup>2</sup>/g. By way of example, but not  
exclusively, it is possible in the process according to the invention to use silicon  
dioxide, such as Aerosil® 380 from Degussa, preferably having a BET surface area  
25 of 380 m<sup>2</sup>/g, aluminum oxides such as boehmite Disperal® P3 from Sasol Germany  
GmbH, preferably having a BET surface area of 300 m<sup>2</sup>/g, Aluminum oxide C from  
Degussa, preferably having a BET surface area of 100 m<sup>2</sup>/g, and titanium dioxide,  
such as RM 300 from Sachtleben, preferably having a BET surface area of 80 m<sup>2</sup>/g.

30 In the process of the invention components (i) to (ii) are appropriately used in a  
weight ratio of from 19 : 1 to 1 : 1, preferably from 10 : 1 to 3 : 2.

As component (iii) preference is given to an organic or inorganic acid, preferably hydrogen chloride, in the form for example of aqueous or concentrated hydrochloric acid, or formic acid, acetic acid, acrylic acid, maleic acid or other suitable organic acids in an amount of from 10 to 3500 ppm by weight, preferably from 100 to

5 1000 ppm by weight, more preferably from 200 to 400 ppm by weight, based on the amount of component (i) in the formulation.

The process of the invention is preferably conducted in a dispersing apparatus. It is preferred to use dispersing apparatus having a good shearing action. On the 10 laboratory scale, for instance, use may suitably be made of a dissolver, for example, of the Dispermat CV or Ultra-Turrax T 25 type.

The components employed are preferably dispersed at a temperature of from 0 to 80 °C, preferably from 10 to 60 °C, for a period of from 10 to 60 minutes, more 15 preferably from 15 to 40 minutes.

A dispersion or formulation obtained in this way can subsequently be aftertreated with stirring at a temperature of from 30 to 80 °C for a time of from 1 to 8 hours, preferably from 2 to 6 hours.

20

A formulation of the invention is appropriately adjusted by adding an organic or inorganic acid to a pH from 2 to 7, preferably from 3 to 5. In the case of the aminosilanes used in accordance with the invention the pH is generally determined by the nature of the aminosilane used and is usually situated within the alkaline 25 range, although even here the formulation can be made neutral or acidic by addition of acid.

The present invention further provides a formulation obtainable by the process of the invention.

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In accordance with the invention the present formulation, or an appropriate dilution, can be used for scratch resistance applications, for abrasion resistance applications, for corrosion protection applications, for easy-to-clean applications, for barrier

applications, in the electronics segment, for the surface treatment of circuit boards, as an insulating layer, as a release layer, for the coating of the surface of solar cells, as a glass fiber size, and for the homogenous incorporation of nanoscale powders into systems of other kinds.

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Such a formulation of the invention can be used with particular advantage in the production of plastics, adhesives, sealants, inks, and paints and in the synthesis of base materials for resins.

- 10 The invention accordingly further provides for the use of a formulation of the invention for synthesizing resin base materials or as a constituent in plastics, in adhesives, in sealants, in inks, and in paints, or as an ingredient in the preparation thereof.
- 15 The present invention additionally provides articles obtainable using a formulation of the invention.

Generally speaking, the process of the invention can be performed as follows:

- 20 Generally, components (i), (ii), (iii), and/or (iv) are combined with the intended amount of water and the mixture is dispersed to give a homogeneous, readily mobile formulation. It is also possible to dilute a formulation obtained in this way by adding component (v); that is, a solvent or diluent can be added to a formulation of the invention, preference being given as component (v) to alcohol, such as methanol,
- 25 ethanol, isopropanol, butanol, 2-methoxypropanol, glycols, such as butylglycol, propylglycol, glycol ethers, aromatic hydrocarbons, such as xylene, toluene, esters, such as ethyl acetate, butyl acetate, and ketones, such as methyl ethyl ketone, or mixtures thereof. Surprisingly, however, it is also possible to use water as diluent, especially when a glycidyloxyalkylalkoxysilane, such as 3-glycidyloxypropyl-
- 30 trimethoxysilane, is used as component (i).

It has also been found that aftertreatment of a formulation prepared by the process of the invention with an ultrasound processor, UP 200 S, for example, can lead

advantageously to a further reduction in viscosity and to a further improvement in the fineness of the particles.

The present invention is illustrated by the following examples:

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### Examples

#### Example 1

##### **30% by weight of Aerosil® 380 in DYNASYLAN® MTES**

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A 250 ml stainless steel dispersing vessel with double jacket was charged with 90.0 g of methyltriethoxysilane (DYNASYLAN® MTES) and a solution of 2.7 g of deionized water and 0.05 g of concentrated hydrochloric acid was added with stirring (Dispermat CV). The liquid was stirred in the dissolver with water cooling for 5

15 minutes at a peripheral speed of 0.8 m/s. This was followed by the beginning of addition of 40 g of nanoscale pyrogenic silica (Aerosil® 380). When 4 to 5% by weight of Aerosil has been dispersed into the hydrolysate, there is a marked increase in viscosity. The dissolver speed was then raised gradually, during the further addition of Aerosil, to a peripheral speed of approximately 8 m/s. The incorporation 20 time was approximately 15 minutes. Directly after all of the Aerosil® had been added, dispersing was continued for 3 minutes at a peripheral speed of 25 m/s. During the dispersing operation the temperature rose to 38 °C. Subsequently the liquid thus obtained was stirred at 80 °C for a further 2 hours.

25 This gave a pale yellowish opalescent liquid having a viscosity of approximately 400 mPa·s, measured at 20 °C (DIN 53015). A portion of the liquid was separated off and aftertreated with the ultrasound processor UP 200S for 3 minutes, as a result of which the viscosity fell to approximately 130 mPa·s (DIN 53015).

30 The product was stored at 50 °C for 12 months, with the system remaining stable and no visible sedimentation being observed.

The solids content of the resulting product was found to be 40% by weight, based on the composition, in accordance with DIN/ISO 3251. Recording of a UV transmission plot (1 cm quartz glass cuvette measured against air) gave a transmission of < 2% in the region from 200 to 250 nm .

5

**Example 2**

**20% by weight of Aerosil® 380 in DYNASYLAN® VTMO**

A 250 ml stainless steel dispersing vessel was charged with 90.0 g of 10 vinyltrimethoxysilane (DYNASYLAN® VTMO) and a solution of 3.3 g of deionized water and 0.05 g of concentrated hydrochloric acid was added with stirring (Dispermat CV). The liquid was stirred in the dissolver with water cooling for 5 minutes at a peripheral speed of 0.8 m/s. This was followed by the beginning of 15 addition of 31.2 g of nanoscale pyrogenic silica (Aerosil® 380). When 4 to 5% by weight of Aerosil has been dispersed into the hydrolysate, there is a marked increase 20 in viscosity. The dissolver speed was then raised gradually, during the further addition of Aerosil, to a peripheral speed of approximately 8 m/s. The incorporation time was approximately 20 minutes. Directly after all of the Aerosil® had been added, dispersing was continued for 3 minutes at a peripheral speed of 25 m/s. During the 25 dispersing operation the temperature rose to 41 °C. Subsequently the liquid thus obtained was stirred at 65 °C for a further 2 hours.

This gave a clear, pale yellowish liquid having a viscosity of < 1000 mPa·s, measured at 20 °C (DIN 53015). The product was stored at 50 °C for 12 months, with the 25 system remaining stable and no visible sedimentation being observed.

The solids content of the resulting product was found to be 42% by weight, based on the composition, in accordance with DIN/ISO 3251.

**Example 3****30% by weight of Aerosil® 380 in DYNASYLAN® MEMO**

A 250 ml stainless steel dispersing vessel was charged with 90.0 g of 3-meth-  
5 acryloyloxypropyltrimethoxysilane (DYNASYLAN® MEMO) and a solution of 2.0 g of  
deionized water and 0.3 g of acrylic acid and 10.0 g of methanol was added with  
stirring (Dispermat CV). The liquid was stirred in the dissolver with water cooling for 5  
minutes at a peripheral speed of 0.8 m/s. This was followed by the beginning of  
addition of 43.5 g of nanoscale pyrogenic silica (Aerosil® 380). When 4 to 5% by  
10 weight of Aerosil has been dispersed into the hydrolysate, there is a marked increase  
in viscosity. The dissolver speed was then raised gradually, during the further  
addition of Aerosil, to a peripheral speed of approximately 8 m/s. The incorporation  
time was approximately 22 minutes. Directly after all of the Aerosil® had been added,  
dispersing was continued for 3 minutes at a peripheral speed of 25 m/s. During the  
15 dispersing operation the temperature rose to 35 °C. Subsequently the liquid thus  
obtained was stirred at 64 °C for a further 2 hours.

This gave a clear, colorless liquid. The product was stored at 50 °C for 3 months,  
with the system remaining stable and no visible sedimentation being observed.

20

The solids content of the resulting product was found to be 80% by weight, based on  
the composition, in accordance with DIN/ISO 3251.

**Example 4****25 20% by weight of Aerosil® 380 in DYNASYLAN® DAMO**

A 250 ml stainless steel dispersing vessel was charged with 90.0 g of N-(2-amino-  
ethyl)-3-aminopropyltrimethoxysilane (DYNASYLAN® DAMO). With stirring  
(Dispermat CV) and water cooling, the addition of 23.0 g of nanoscale pyrogenic  
30 silica (Aerosil® 380) was commenced, at a peripheral speed of 0.8 m/s. When 4 to  
5% by weight of Aerosil had been dispersed into the hydrolysate, there is a marked  
increase in viscosity. The dissolver speed was then raised gradually, during the  
further addition of Aerosil, to a peripheral speed of approximately 8 m/s. The

incorporation time was approximately 10 minutes. Directly after all of the Aerosil® had been added, dispersing was continued for 3 minutes at a peripheral speed of 25 m/s. During the dispersing operation the temperature rose to 37 °C.

5 This gave a clear, opalescent liquid. The product was stored at 50 °C for 12 months, with the system remaining stable and no visible sedimentation being observed.

The solids content of the resulting product was found to be 81% by weight, based on the composition, in accordance with DIN/ISO 3251.

10

**Example 5**

**17% by weight of Aluminum oxide C in DYNASYLAN® AMMO**

A 250 ml stainless steel dispersing vessel was charged with 90.0 g of 3-aminopropyltrimethoxysilane (DYNASYLAN® AMMO) and with stirring (Dispermat CV) 7.2 g of deionized water were added. The liquid was stirred in the dissolver with water cooling for 5 minutes at a peripheral speed of 0.8 m/s. This was followed by beginning of the addition of 23 g of nanoscale pyrogenic aluminum oxide (Aluminum oxide C) at a peripheral speed of 0.8 m/s. When 4 to 5% by weight of Aerosil® has been dispersed into the hydrolysate, there is a marked increase in viscosity. The dissolver speed was then raised gradually, during the further addition of Aerosil®, to a peripheral speed of approximately 8 m/s. The incorporation time was approximately 10 minutes. Directly after all of the Aerosil® had been added, dispersing was continued for 3 minutes at a peripheral speed of 25 m/s. During the dispersing operation the temperature rose to 34 °C.

This gave a milky white, opalescent liquid having a viscosity of < 10000 mPa·s, measured at 20°C (DIN 53015). The product was stored at 50 °C for 4 months, with the system remaining stable and no visible sedimentation being observed.

30

**Example 6****26% by weight of Aerosil® 380 in DYNASYLAN® 1189**

A 1 l stainless steel dispersing vessel was charged with 400.0 g of N-(n-butyl)-3-aminopropyltrimethoxysilane (DYNASYLAN® 1189) and 9.15 g of deionized water were added with stirring (Dispermat CV). The liquid was stirred in the dissolver with water cooling for 5 minutes at a peripheral speed of 0.8 m/s. This was followed by the beginning of addition of 127 g of nanoscale pyrogenic silica (Aerosil®) at a peripheral speed of 0.8 m/s. When 4 to 5% by weight of Aerosil has been dispersed into the hydrolysate, there is a marked increase in viscosity. The dissolver speed was then raised gradually, during the further addition of Aerosil, to a peripheral speed of approximately 8 m/s. The incorporation time was approximately 45 minutes. Directly after all of the Aerosil® had been added, dispersing was continued for 5 minutes at a peripheral speed of 25 m/s. The dispersing temperature rose to 56 °C. This gave a pale yellowish, clear liquid.

Subsequently 54 g of methanol released by hydrolysis were removed by distillation from the highly filled Silan-Aerosil® formulation in a rotary evaporator at a bath temperature of 60°C and  $p_{\text{absolute}} = 1$  mbar. The free methanol content (GC) in the concentrated Silane-Aerosil® formulation was < 1%. The clear, pale yellowish liquid has a viscosity of 309 mPa·s of 20°C (DIN 53015).

The produced was stored at 50°C for 2 months, during which the system remained stable and there was no visible sedimentation.

25

**Example 7****10% by weight of titanium dioxide in DYNASYLAN® GLYMO**

A 250 ml stainless steel dispersing vessel was charged with 400.0 g of 3-glycidyloxy-30 propyltrimethoxysilane (DYNASYLAN® GLYMO) and with stirring (Dispermat CV) 20 g of ethanol and 20 g of aqueous titanium dioxide dispersion (RM 300 WP,  $\text{TiO}_2$  content 37.8% by weight, from Sachtleben) were added. The liquid was stirred in the dissolver with water cooling for 5 minutes at a peripheral speed of 1.0 m/s.

Thereafter the dissolver disk was replaced by a jacketed disk of polyamide and 80 ml of zirconium oxide grinding beads of  $d = 0.7$  to 1.2 mm were introduced into the liquid. The bead mill obtained in this way was used to aftertreat the silane-TiO<sub>2</sub> formulation for 20 minutes. During the dispersing operation the temperature rose to

5 43 °C.

This gave a milky beige opalescent liquid with an average particle size distribution  $d_{50} = 80$  nm (measured by means of laser diffraction).

10 The product was stored at 50 °C for 6 months, during which the system remained stable and there was no visible sedimentation.

#### Example 8

##### **22% by weight of Aerosil® 380 in DYNASYLAN® GLYMO**

15 A 250 ml stainless steel dispersing vessel was charged with 60.0 g of 3-glycidyloxy-propyltrimethoxysilane (DYNASYLAN® GLYMO) and with stirring (Dispermat CV) a solution of 10.0 g of deionized water and 0.1 g of concentrated acetic acid was added. The liquid was stirred in the dissolver for 5 minutes at a peripheral speed of

20 0.8 m/s. This was followed by the beginning of addition of 20.0 g of nanoscale pyrogenic silica (Aerosil® 380). When from 4 to 5% by weight of Aerosil® have been dispersed into the hydrolysate there is a marked increase in viscosity. The dissolver speed was then gradually raised to a peripheral speed of approximately 8 m/s during the further addition of Aerosil®. The incorporation time was approximately 12

25 minutes. Directly after all of the Aerosil® had been added, dispersion was continued for 2 minutes at a peripheral speed of 25 m/s. The dispersing temperature in the uncooled vessel rose to 28 °C.

This gave a clear, slightly opalescent liquid having a viscosity of < 1000 mPa·s.

30 Mixing of this silane formulation with 50 % of deionized water gave an opalescent liquid of low viscosity. This product was stored at 50 °C for 1 month, during which the system remained stable and there was no visible sedimentation.

The aqueous silane formulation was used to coat an aluminum panel (3105 H24 alloy) by means of a 6  $\mu\text{m}$  doctor blade, and the coating was dried at 200 °C for 15 minutes. The transparent coating exhibited good scratch resistance to steel wool.

5 **Example 9**

**25% by weight of Aerosil® R972 in DYNASYLAN® VTMO**

A 500 ml stainless steel dispersing vessel was charged with 170.0 g of vinyl-trimethoxysilane (DYNASYLAN® VTMO) and with stirring (Dispermat CV) a solution

10 of 6.2 g of deionized water and 0.18 g of concentrated hydrochloric acid was added.

The liquid was stirred in the dissolver for 5 minutes at a peripheral speed of 0.8 m/s.

This was followed by beginning of addition of 50.0 g of nanoscale hydrophobicized pyrogenic silica (Aerosil® R 972). When from 4 to 5% by weight of Aerosil® has been dispersed into the hydrolysate, there is a marked increase in viscosity. The dissolver

15 speed was then raised gradually to a peripheral speed of approximately 8 m/s during the further addition of Aerosil®. The incorporation time was approximately 21

minutes. Directly after the addition of all of the Aerosil®, dispersion was continued for 5 minutes at a peripheral speed of 25 m/s. The dispersing temperature in the uncooled vessel rose to 39 °C.

20

This gave a pale yellowish opalescent liquid having a viscosity of 535 mPa·s.

The product was stored at 50 °C for 12 months, during which the system remained stable and no visible sedimentation was observed.

25

**Comparative Example**

**8% by weight of Aerosil® 380 in DYNASYLAN® MTES**

A 250 ml stainless steel dispersing vessel was charged with 90.0 g of methyl-

30 triethoxysilane (DYNASYLAN® MTES). This was followed directly by the incorporation of 8 g of nanoscale pyrogenic silica (Aerosil® 380). After 5 g of Aerosil® 380 had been added there was a considerable increase in viscosity. The dissolver

speed was raised from 0.8 m/s to 8 m/s and a further 3 g of Aerosil® 380 were metered in. This gave a pastelike mass for which a spatula had to be used to remove it from the dispersing vessel.

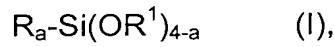
**What is claimed is:**

1. A formulation comprising (i) at least one organoalkoxysilane and/or at least one organoalkoxysiloxane and (ii) at least one inorganic oxidic powder and (iii), if desired, an organic or inorganic acid, component (ii) making up from 5 to 50% by weight of formulation, and the formulation having a viscosity of less than 1500 mPa.s.

5                   2. A formulation as claimed in claim 1,  
10                   comprising a wetting assistant as further component (iv).

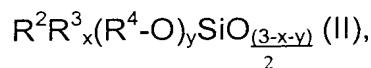
15                   3. A formulation as claimed in claim 1 or 2,  
                         comprising a diluent or solvent as further component (v).

15                   4. A formulation as claimed in any one of claims 1 to 3,  
                         wherein the organoalkoxysilane of component (i) is of the general formula (I)



20                   in which groups R are identical or different and R is a linear, cyclic, branched or substituted alkyl group having 1 to 18 carbon atoms or an alkenyl group having 2 to 8 carbon atoms or an aryl group or an alkoxy group or an acryloyl- or methacryloyloxyalkyl group or an epoxyalkyl group or a glycidyl-oxalkyl group or an aminoalkyl group or a fluoroalkyl group or a mercapto-alkyl group or a silylated alkylsulfanealkyl group or a thiocyanatoalkyl group or an isocyanatoalkyl group, R<sup>1</sup> is a linear, branched or cyclic alkyl group having 1 to 6 carbon atoms, and a is 1 or 2.

25                   5. A formulation as claimed in any one of claims 1 to 4,  
                         wherein the organoalkoxysiloxane of component (i) is of the general formula (II)



in which groups R<sup>2</sup> are identical or different and R<sup>2</sup> is a linear, cyclic, branched or substituted alkyl group having 1 to 18 carbon atoms, an alkenyl group having 2 to 8 carbon atoms, an aryl group, an acryloyl- or methacryloyloxyalkyl group, a glycidyloxyalkyl group, an epoxyalkyl group, a fluoroalkyl group, an aminoalkyl group, a silylated aminoalkyl group, a ureidoalkyl group, a mercaptoalkyl group, a silylated alkylsulfane group, a thiocyanatoalkyl group, an isocyanatoalkyl group or an alkoxy group, R<sup>3</sup> is a linear, cyclic, branched or substituted alkyl group having 1 to 18 carbon atoms, R<sup>4</sup> is a linear, cyclic or branched alkyl group having 1 to 6 carbon atoms, x is 0 or 1 or 2, and y is 0 or 1 or 2, with the proviso that (x+y) < 3.

6. A formulation as claimed in any one of claims 1 to 5,

comprising a nanoscale powder (ii) having an average particle size (d<sub>50</sub>) of less than 1200 nm.

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7. A formulation as claimed in any one of claims 1 to 6,

comprising a powder (ii) from the group consisting of silicon oxides, aluminum oxides, and transition metal oxides.

20 8. A formulation as claimed in any one of claims 1 to 7,

comprising as further components at least one reaction product of components (i) and (ii).

9. A formulation as claimed in any one of claims 1 to 8,

25 characterized by a solids content of up to 90% by weight, based on the formulation, whose respective components total a maximum of 100% by weight.

10. A process for preparing a formulation as claimed in at least one of claims 1 to 9,

which comprises

- 30 - combining components (i), (ii), and, where appropriate, (iv),
- adding from 0.001 to < 0.8 mol of water per mole of Si in component (i), together where appropriate with a catalytic amount of an organic or inorganic acid in accordance with component (iii), and

- intensely dispersing the mixture.

11. A process as claimed in claim 10,

wherein at least one nanoscale inorganic powder (ii) selected from the group  
5 consisting of silicas, aluminas, and transition metal oxides is used.

12. A process as claimed in claim 10 or 11,

wherein at least one organoalkoxysilane of the general formula (I) selected from  
the group consisting of methyltriethoxysilane, methyltrimethoxysilane, n-propyl-

10 trimethoxysilane, n-propyltriethoxysilane, vinyltriethoxysilane, vinyltrimethoxy-  
silane, 3-methacryloxypropyltrimethoxysilane, 3-glycidyloxypropyltrimethoxy-  
silane, 3-glycidyloxypropyltriethoxysilane, tridecafluoro-1,1,2,2-tetrahydrooctyl-  
trimethoxysilane, tridecafluoro-1,1,2,2-tetrahydrooctyltriethoxysilane, 3-amino-

15 propyltrimethoxysilane, N-(n-butyl)-3-aminopropyltrimethoxysilane, N-(2-  
aminoethyl)-3-aminopropyltrimethoxysilane, N-(2-aminoethyl)-3-aminopropyl-  
methyldimethoxysilane, bis(3-trimethoxysilylpropyl)amine or 3-mercato-

propyltrimethoxysilane is used.

13. A process as claimed in at least one of claims 9 to 12,

20 wherein at least one organoalkoxysiloxane of the general formula (II) or a mixture  
of organoalkoxysiloxanes of the general formula II or a mixture of at least one  
organoalkoxysilane of the general formula I and organoalkoxysiloxanes of the  
general formula II is used.

25 14. A process as claimed in at least one of claims 9 to 13,

wherein from 0.05 to 0.5 mol of water is used per mole of Si in component (i).

15. A process as claimed in at least one of claims 9 to 14,

wherein as acid acetic acid, acrylic acid or maleic acid is used in an amount of  
30 from 10 to 3500 ppm by weight, the amount of acid being based on the amount  
of component (i) used in the formulation.

16. A process as claimed in at least one of claims 9 to 15,

wherein the components used are dispersed at a temperature of from 0 to 80 °C.

17. A process as claimed in at least one of claims 9 to 16,

wherein the components used are dispersed for from 10 to 60 minutes.

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18. A process as claimed in at least one of claims 9 to 17,

wherein the dispersion or formulation thus obtained is aftertreated for a period of from 1 to 8 hours at a temperature of from 30 to 80 °C with stirring.

10 19. A process as claimed in at least one of claims 9 to 18,

wherein the formulation is adjusted to a pH of from 2 to 7 by adding an organic or inorganic acid.

15 20. A formulation as claimed in any of claims 1 to 8, obtainable as claimed in at least

one of claims 9 to 19.

20 21. The use of a formulation as claimed in at least one of claims 1 to 8 and 20 or of a formulation prepared as claimed in any one of claims 9 to 19 for scratch resistance applications, for abrasion resistance applications, for corrosion protection applications, for easy-to-clean applications, for barrier applications, in the electronics segment, for the surface treatment of circuit boards, as an insulating layer, as a release layer, for the coating of the surface of solar cells, as a glass fiber size, or for homogeneous incorporation of nanoscale powders into systems of other kinds.

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22. The use of a formulation as claimed in at least one of claims 1 to 8 and 20 and 21 or of a formulation prepared as claimed in any one of claims 9 to 19 for producing plastics, adhesives, sealants, resin base materials, inks or paints.

30 23. The use of a formulation as claimed in at least one of claims 1 to 8 and 20 to 22 or of a formulation prepared as claimed in any one of claims 9 to 19 as a constituent of resin based materials, of plastics, of inks, of paints, of adhesives or of sealants.

24. An article obtainable as claimed in claim 21 or 22.

25. An article as set forth in claim 23 or as claimed in claim 24.

**Abstract:**

**Silane formulation with high filler content**

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The present invention relates to a formulation comprising (i) at least one organo-alkoxysilane and/or at least one organoalkoxysiloxane and (ii) at least one inorganic oxidic powder and (iii), if desired, an organic or inorganic acid, component (ii) making up from 5 to 50% by weight of formulation, and the formulation having a viscosity of

10 less than 1500 mPa·s.

The present invention further relates to a process for preparing such formulations, and to their use.